# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

WASHINGTON, D.C. 20549

# **SCHEDULE 13G/A**

**UNDER THE SECURITIES EXCHANGE ACT OF 1934** (Amendment No. 1)\*

# Athira Pharma, Inc. (Name of Issuer)

Common Stock, \$0.0001 par value (Title of Class of Securities)

(The of Shass of Securities)
04746L104 (CUSIP Number)
December 31, 2020 (Date of Event Which Requires Filing of This Statement)
Check the appropriate box to designate the rule pursuant to which this Schedule is filed:
□ Rule 13d-1(b)
☑ Rule 13d-1(c)
□ Rule 13d-1(d)
* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.
The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange A of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

**CUSIP No. 04746L104** Page 2 of 17

1.	Name of reporting persons					
	Venrock Healthcare Capital Partners II, L.P.					
	Check the Appropriate Box if a Member of a Group (See Instructions)					
	(a) $\boxtimes^1$ (b) $\square$					
3.	SEC USE ONLY					
4.	Citizenship or Place of Organization					
	Delaware					
	5. Sole Voting Power					
Num	ber of 0					
-	ares 6. Shared Voting Power					
	ficially state and the state of					
	ned by 1,691,623 <sup>2</sup> ach 7. Sole Dispositive Power					
	ach 7. Sole Dispositive Power orting					
	rson 0					
W	ith: 8. Shared Dispositive Power					
	1,691,623 <sup>2</sup>					
9.	Aggregate Amount Beneficially Owned by Each Reporting Person					
	1,691,623 <sup>2</sup>					
10.						
11.	Percent of Class Represented by Amount in Row (9)					
	$5.2\%^{3}$					
12.	Type of Reporting Person (See Instructions)					
	PN					

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, Venrock Healthcare Capital Partners EG, L.P., VHCP Management II, LLC, VHCP Management III, LLC, VHCP Management EG, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13G.
- 2 Consists of (i) 185,010 shares held by Venrock Healthcare Capital Partners II, L.P., (ii) 74,970 shares held by VHCP Co-Investment Holdings II, LLC, (iii) 1,223,678 shares held by Venrock Healthcare Capital Partners III, L.P., (iv) 122,315 shares held by VHCP Co-Investment Holdings III, LLC and (v) 85,650 shares held by Venrock Healthcare Capital Partners EG, L.P.
- 3 This percentage is calculated based upon 32,485,184 shares of the Issuer's Common Stock outstanding as of November 9, 2020, as reported in the Issuer's Quarterly Report on Form 10-Q filed with the Securities and Exchange Commission on November 12, 2020.

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	Name of reporting persons				
			vestment Holdings II, LLC		
			ppropriate Box if a Member of a Group (See Instructions)		
	(a) ⊠ <sup>1</sup>	(b) 🗆			
3.	SEC U	SE OI	NLY		
4.	Citizen	ship c	or Place of Organization		
	Delawa	re			
		5.	Sole Voting Power		
Num	ber of		0		
	ares	6.	Shared Voting Power		
	icially ed by		1,691,623 <sup>2</sup>		
Ea		7.	Sole Dispositive Power		
	rting	<i>'</i> ·	Sole Dispositive Fower		
_	son		0		
Wi	ith:	8.	Shared Dispositive Power		
			1,691,623 <sup>2</sup>		
9.	Aggreg	ate A	mount Beneficially Owned by Each Reporting Person		
	4 604 6	22			
	1,691,623 <sup>2</sup>				
10.	. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)				
11.	. Percent of Class Represented by Amount in Row (9)				
	$5.2\%^{3}$				
12.	2. Type of Reporting Person (See Instructions)				
	00				
	00				

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, Venrock Healthcare Capital Partners EG, L.P., VHCP Management II, LLC, VHCP Management III, LLC, VHCP Management EG, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13G.
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	Name of reporting persons				
	Venrock Healthcare Capital Partners III, L.P.				
	Check the Appropriate Box if a Member of a Group (See Instructions)				
	(a) $\boxtimes^1$ (b) $\square$				
3.	SEC USE ONLY				
4.	Citizenship or Place of Organization				
	Delaware				
	5. Sole Voting Power				
Num	ber of 0				
-	ares 6. Shared Voting Power				
	ficially				
	led by 1,691,623 <sup>2</sup>				
	ach 7. Sole Dispositive Power				
	orting Control of the				
_	rson 0 ith: 9 Shared Dispositive Rever				
٧٧.	ith: 8. Shared Dispositive Power				
	$1,691,623^2$				
0					
9.	Aggregate Amount Beneficially Owned by Each Reporting Person				
	1,691,623 <sup>2</sup>				
10.					
11.	Percent of Class Represented by Amount in Row (9)				
	$5.2\%^3$				
12.	2. Type of Reporting Person (See Instructions)				
	PN				
	I'IN				

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, Venrock Healthcare Capital Partners EG, L.P., VHCP Management II, LLC, VHCP Management III, LLC, VHCP Management EG, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13G.
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1.	Name of reporting persons				
			vestment Holdings III, LLC		
2.			ropriate Box if a Member of a Group (See Instructions)		
	(a) $\boxtimes^1$ (				
3.	SEC US	E ONI	LY .		
4.	Citizens	hip or l	Place of Organization		
	Delawai	:e			
		5.	Sole Voting Power		
Nun	aber of		0		
	nares	6.	Shared Voting Power		
	ficially ned by		1,691,623 <sup>2</sup>		
	ach orting	7.	Sole Dispositive Power		
	erson		0		
W	/ith:	8.	Shared Dispositive Power		
			1,691,623 <sup>2</sup>		
9.	Aggrega	ite Am	ount Beneficially Owned by Each Reporting Person		
	1,691,623 <sup>2</sup>				
10.	. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) $\Box$				
11.	. Percent of Class Represented by Amount in Row (9)				
	$5.2\%^{3}$				
12.	Type of	Report	ring Person (See Instructions)		
	00				

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, Venrock Healthcare Capital Partners EG, L.P., VHCP Management II, LLC, VHCP Management III, LLC, VHCP Management EG, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13G.
- 2 Consists of (i) 185,010 shares held by Venrock Healthcare Capital Partners II, L.P., (ii) 74,970 shares held by VHCP Co-Investment Holdings II, LLC, (iii) 1,223,678 shares held by Venrock Healthcare Capital Partners III, L.P., (iv) 122,315 shares held by VHCP Co-Investment Holdings III, LLC and (v) 85,650 shares held by Venrock Healthcare Capital Partners EG, L.P.
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	Name of reporting persons				
	Venrock Healthcare Capital Partners EG, L.P.				
	Check the Appropriate Box if a Member of a Group (See Instructions)				
	(a) $\boxtimes^1$ (b) $\square$				
3.	SEC USE ONLY				
4.	Citizenship or Place of Organization				
	Delaware				
	5. Sole Voting Power				
Num	ber of 0				
-	ares 6. Shared Voting Power				
	ficially				
	led by 1,691,623 <sup>2</sup>				
	ach 7. Sole Dispositive Power				
	orting Control of the				
_	rson 0 ith: 9 Shared Dispositive Rever				
٧٧.	ith: 8. Shared Dispositive Power				
	$1,691,623^2$				
0					
9.	Aggregate Amount Beneficially Owned by Each Reporting Person				
	1,691,623 <sup>2</sup>				
10.	Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) □				
11.	Percent of Class Represented by Amount in Row (9)				
	$5.2\%^3$				
12.	Type of Reporting Person (See Instructions)				
	PN				
	EN				

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, Venrock Healthcare Capital Partners EG, L.P., VHCP Management II, LLC, VHCP Management III, LLC, VHCP Management EG, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13G.
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	-					
1.	Name of reporting persons					
	VHCP Management II, LLC					
2.	Check the Ap	propria	ate Box if a Member of a Group (See Instructions)			
	(a) $\boxtimes^1$ (b) $\square$					
3.	SEC USE ON	ILY				
4.	Citizenship or	Place	of Organization			
	Delaware					
		5.	Sole Voting Power			
N	Jumber of		0			
	Shares	6.	Shared Voting Power			
	eneficially Owned by		1,691,623 <sup>2</sup>			
	Each Reporting	7.	Sole Dispositive Power			
	Person		0			
	With:	8.	Shared Dispositive Power			
			1,691,623 <sup>2</sup>			
9.	Aggregate Ar	nount l	Beneficially Owned by Each Reporting Person			
	1,691,623 <sup>2</sup>					
10.			eck if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)			
11.	Percent of Class Represented by Amount in Row (9)					
	5.2% <sup>3</sup>					
12.	Type of Repo	rting P	erson (See Instructions)			
	00					
	,1					

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, Venrock Healthcare Capital Partners EG, L.P., VHCP Management II, LLC, VHCP Management III, LLC, VHCP Management EG, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13G.
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1.	Name of reporting persons					
	VHCP Management III, LLC					
_						
2.		propria	ate Box if a Member of a Group (See Instructions)			
	(a) ⊠¹ (b) □	TT 3.7				
3.	SEC USE ON	ILY				
4.	Citizenship or	Place	of Organization			
	Delaware					
		5.	Sole Voting Power			
\ <sub>N</sub>	Jumber of		0			
	Shares	6.	Shared Voting Power			
	eneficially Owned by		1,691,623 <sup>2</sup>			
	Each <sup>°</sup> Reporting	7.	Sole Dispositive Power			
1	Person		0			
With:		8.	Shared Dispositive Power			
			1,691,623 <sup>2</sup>			
9.	Aggregate Ar	nount l	Beneficially Owned by Each Reporting Person			
	1,691,623 <sup>2</sup>					
10.	Check if the A	heck if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)				
11						
11.	. Percent of Class Represented by Amount in Row (9)					
	$5.2\%^{3}$					
12.	Type of Repo	rting P	erson (See Instructions)			
	00					
	_ 1°°					

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, Venrock Healthcare Capital Partners EG, L.P., VHCP Management II, LLC, VHCP Management III, LLC, VHCP Management EG, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13G.
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**CUSIP No. 04746L104** Page 9 of 17

1.	Name of reporting persons				
			gement EG, LLC		
2.			ppropriate Box if a Member of a Group (See Instructions)		
	(a) $\boxtimes^1$	(b) 🗆			
3.	SEC US	SE OI	NLY		
4.	Citizen	ship o	or Place of Organization		
	Delawa	re			
		5.	Sole Voting Power		
Num	ber of		0		
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	ficially ed by		1,691,623 <sup>2</sup>		
	ach	7.	Sole Dispositive Power		
	orting cson				
W	ith:	8.	Shared Dispositive Power		
	1,691,623 <sup>2</sup>				
9.	Aggreg	ate A	mount Beneficially Owned by Each Reporting Person		
	1,691,623 <sup>2</sup>				
10.	. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) □				
11.	. Percent of Class Represented by Amount in Row (9)				
	$5.2\%^{3}$				
12.	2. Type of Reporting Person (See Instructions)				
	00				
	50				

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, Venrock Healthcare Capital Partners EG, L.P., VHCP Management II, LLC, VHCP Management III, LLC, VHCP Management EG, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13G.
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			· · · · · · · · · · · · · · · · · · ·		
	Name of Reporting Persons Shah, Nimish				
2.	Check	the Ap	propriate Box if a Member of a Group (See Instructions)		
	(a) $\boxtimes^1$		propriate 2011 a stemper of a Group (see moduleus)		
	(a) △	(b) $\Box$			
3.	SEC U	SE ON	LY		
4.	Citizen	ship or	Place of Organization		
		•			
	United	States			
		5.	Sole Voting Power		
		٥.	Sole volling rower		
Num	ber of	_	U C C C C C C C C C C C C C C C C C C C		
Sh	ares	6.	Shared Voting Power		
Benef	icially				
Own	ed by		1,691,623 <sup>2</sup>		
	ach	7.	Sole Dispositive Power		
Repo	orting		See 2 seponde 1 see		
	son		0		
	ith:	8.	Shared Dispositive Power		
		8.	Shared Dispositive Power		
			1,691,623 <sup>2</sup>		
9.	Aggreg	ate An	nount Beneficially Owned by Each Reporting Person		
	1,691,623 <sup>2</sup>				
10.	10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) $\Box$				
11					
11.	Percen	t of Cla	ss Represented by Amount in Row (9)		
	$5.2\%^{3}$				
12.	Туре о	f Repo	rting Person (See Instructions)		
	IN				

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, Venrock Healthcare Capital Partners EG, L.P., VHCP Management II, LLC, VHCP Management III, LLC, VHCP Management EG, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13G.
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- 3 This percentage is calculated based upon 32,485,184 shares of the Issuer's Common Stock outstanding as of November 9, 2020, as reported in the Issuer's Quarterly Report on Form 10-Q filed with the Securities and Exchange Commission on November 12, 2020.

**CUSIP No. 04746L104** Page 11 of 17

	Name of Reporting Persons  Koh, Bong				
2.	Check	the Ap	propriate Box if a Member of a Group (See Instructions)		
	(a) ⊠ <sup>1</sup>				
3.	SEC U	SE ON	LY		
4.	Citizen	ship or	Place of Organization		
	United	States			
		5.	Sole Voting Power		
Num	ber of		0		
	ares	6.	Shared Voting Power		
	ficially				
	ed by		1,691,623 <sup>2</sup>		
	ach	7.	Sole Dispositive Power		
Per	orting rson		0		
W	ith:	8.	Shared Dispositive Power		
			1,691,623 <sup>2</sup>		
9.	Aggreg	gate An	nount Beneficially Owned by Each Reporting Person		
	1,691,623 <sup>2</sup>				
10.	. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) □				
11.	L. Percent of Class Represented by Amount in Row (9)				
	5.2% <sup>3</sup>				
		f Repo	rting Person (See Instructions)		
	IN				

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, Venrock Healthcare Capital Partners EG, L.P., VHCP Management II, LLC, VHCP Management III, LLC, VHCP Management EG, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13G.
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**CUSIP No. 04746L104** Page 12 of 17

Introductory Note: This Schedule 13G/A is filed on behalf of Venrock Healthcare Capital Partners II, L.P., a limited partnership organized under the laws of the State of Delaware ("VHCP II LP"), VHCP Co-Investment Holdings II, LLC, a limited liability company organized under the laws of the State of Delaware ("VHCP Co-Investment II"), Venrock Healthcare Capital Partners III, L.P., a limited partnership organized under the laws of the State of Delaware ("VHCP III LP"), VHCP Co-Investment Holdings III, LLC, a limited liability company organized under the laws of the State of Delaware ("VHCP Co-Investment III"), Venrock Healthcare Capital Partners EG, L.P., a limited partnership organized under the laws of the State of Delaware ("VHCP EG"), VHCP Management II, LLC, a limited liability company organized under the laws of the State of Delaware ("VHCP Management III"), VHCP Management EG, LLC, a limited liability company organized under the laws of the State of Delaware ("VHCP Management EG" and collectively with VHCP II LP, VHCP Co-Investment II, VHCP III LP, VHCP Co-Investment III, VHCP EG, VHCP Management II and VHCP Management III, the "Venrock Entities"), Nimish Shah ("Shah") and Bong Koh ("Koh") in respect of Common Stock of Athira Pharma, Inc.

#### Item 1.

(a) Name of Issuer

Athira Pharma, Inc.

(b) Address of Issuer's Principal Executive Offices

4000 Mason Road, Suite 300 Seattle, Washington 98195

#### Item 2.

(a) Name of Person Filing

Venrock Healthcare Capital Partners II, L.P. VHCP Co-Investment Holdings II, LLC Venrock Healthcare Capital Partners III, L.P. VHCP Co-Investment Holdings III, LLC Venrock Healthcare Capital Partners EG, L.P. VHCP Management II, LLC VHCP Management III, LLC VHCP Management EG, LLC Nimish Shah Bong Koh

(b) Address of Principal Business Office or, if none, Residence

New York Office: Palo Alto Office:

7 Bryant Park 23rd Floor New York, NY 10018 3340 Hillview Avenue Palo Alto, CA 94304

(c) Citizenship

All of the Venrock Entities were organized in Delaware. The individuals are both United States citizens.

(d) Title of Class of Securities

Common Stock, par value \$0.0001 per share

(e) CUSIP Number

04746L104

# Item 3. If this statement is filed pursuant to §§240.13d-1(b), or 240.13d-2(b) or (c), check whether the person filing is a:

Not applicable

# Item 4. Ownership

(a) Amount Beneficially Owned as of December 31, 2020:

Venrock Healthcare Capital Partners II, L.P.	1,691,623(1)
VHCP Co-Investment Holdings II, LLC	1,691,623(1)
Venrock Healthcare Capital Partners III, L.P.	1,691,623(1)
VHCP Co-Investment Holdings III, LLC	1,691,623(1)
Venrock Healthcare Capital Partners EG, L.P.	1,691,623(1)
VHCP Management II, LLC	1,691,623(1)
VHCP Management III, LLC	1,691,623(1)
VHCP Management EG, LLC	1,691,623(1)
Nimish Shah	1,691,623(1)
Bong Koh	1,691,623(1)

(b) Percent of Class as of December 31, 2020:

Venrock Healthcare Capital Partners II, L.P.	5.2%
VHCP Co-Investment Holdings II, LLC	5.2%
Venrock Healthcare Capital Partners III, L.P.	5.2%
VHCP Co-Investment Holdings III, LLC	5.2%
Venrock Healthcare Capital Partners EG, L.P.	5.2%
VHCP Management II, LLC	5.2%
VHCP Management III, LLC	5.2%
VHCP Management EG, LLC	5.2%
Nimish Shah	5.2%
Bong Koh	5.2%

- (c) Number of shares as to which the person has, as of December 31, 2020:
- (i) Sole power to vote or to direct the vote

Venrock Healthcare Capital Partners II, L.P.	0
VHCP Co-Investment Holdings II, LLC	0
Venrock Healthcare Capital Partners III, L.P.	0
VHCP Co-Investment Holdings III, LLC	0
Venrock Healthcare Capital Partners EG, L.P.	0
VHCP Management II, LLC	0
VHCP Management III, LLC	0
VHCP Management EG, LLC	0
Nimish Shah	0
Bong Koh	0

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#### (ii) Shared power to vote or to direct the vote

Venrock Healthcare Capital Partners II, L.P.	1,691,623(1)
VHCP Co-Investment Holdings II, LLC	1,691,623(1)
Venrock Healthcare Capital Partners III, L.P.	1,691,623(1)
VHCP Co-Investment Holdings III, LLC	1,691,623(1)
Venrock Healthcare Capital Partners EG, L.P.	1,691,623(1)
VHCP Management II, LLC	1,691,623(1)
VHCP Management III, LLC	1,691,623(1)
VHCP Management EG, LLC	1,691,623(1)
Nimish Shah	1,691,623(1)
Bong Koh	1,691,623(1)

## (iii) Sole power to dispose or to direct the disposition of

Venrock Healthcare Capital Partners II, L.P.	0
VHCP Co-Investment Holdings II, LLC	0
Venrock Healthcare Capital Partners III, L.P.	0
VHCP Co-Investment Holdings III, LLC	0
Venrock Healthcare Capital Partners EG, L.P.	0
VHCP Management II, LLC	0
VHCP Management III, LLC	0
VHCP Management EG, LLC	0
Nimish Shah	0
Bong Koh	0

#### (iv) Shared power to dispose or to direct the disposition of

Venrock Healthcare Capital Partners II, L.P.	1,691,623(1)
VHCP Co-Investment Holdings II, LLC	1,691,623(1)
Venrock Healthcare Capital Partners III, L.P.	1,691,623(1)
VHCP Co-Investment Holdings III, LLC	1,691,623(1)
Venrock Healthcare Capital Partners EG, L.P.	1,691,623(1)
VHCP Management II, LLC	1,691,623(1)
VHCP Management III, LLC	1,691,623(1)
VHCP Management EG, LLC	1,691,623(1)
Nimish Shah	1,691,623(1)
Bong Koh	1,691,623(1)

(1) Consists of (i) 185,010 shares held by Venrock Healthcare Capital Partners II, L.P., (ii) 74,970 shares held by VHCP Co-Investment Holdings II, LLC, (iii) 1,223,678 shares held by Venrock Healthcare Capital Partners III, L.P., (iv) 122,315 shares held by VHCP Co-Investment Holdings III, LLC and (v) 85,650 shares held by Venrock Healthcare Capital Partners EG, L.P. VHCP Management II, LLC is the general partner of Venrock Healthcare Capital Partners II, L.P. and the manager of VHCP Co-Investment Holdings III, LLC. VHCP Management III, LLC is the general partner of Venrock Healthcare Capital Partners III, L.P. and the manager of VHCP Co-Investment Holdings III, LLC. VHCP Management EG, LLC is the general partner of Venrock Healthcare Capital Partners EG, L.P. Messrs. Shah and Koh are the voting members of VHCP Management II, LLC, VHCP Management III, LLC and VHCP Management EG, LLC.

## Item 5. Ownership of Five Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following  $\Box$ .

# Item 6. Ownership of More than Five Percent on Behalf of Another Person

Not Applicable

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person.

Not Applicable

Item 8. Identification and Classification of Members of the Group

Not Applicable

Item 9. Notice of Dissolution of a Group

Not Applicable

## **Item 10. Certification**

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect, other than activities solely in connection with a nomination under § 240.14a-11.

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#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 16, 2021

Venrock Healthcare Capital Partners II, L.P.

By: VHCP Management II, LLC

Its: General Partner

By: /s/ David L. Stepp

Name: David L. Stepp Its: Authorized Signatory

VHCP Co-Investment Holdings II, LLC

By: VHCP Management II, LLC

Its: Manager

By: /s/ David L. Stepp

Name: David L. Stepp Its: Authorized Signatory

VHCP Management II, LLC

By: /s/ David L. Stepp

Name: David L. Stepp Its: Authorized Signatory

Venrock Healthcare Capital Partners EG, L.P.

By: VHCP Management EG, LLC

Its: General Partner

/s/ David L. Stepp

Name: David L. Stepp Its: Authorized Signatory

**Bong Koh** 

/s/ David L. Stepp

David L. Stepp, Attorney-in-fact

Nimish Shah

/s/ David L. Stepp

David L. Stepp, Attorney-in-fact

Venrock Healthcare Capital Partners III, L.P.

By: VHCP Management III, LLC

Its: General Partner

By: /s/ David L. Stepp

Name: David L. Stepp Its: Authorized Signatory

VHCP Co-Investment Holdings III, LLC

By: VHCP Management III, LLC

Its: Manager

By: /s/ David L. Stepp

Name: David L. Stepp Its: Authorized Signatory

VHCP Management III, LLC

By: /s/ David L. Stepp

Name: David L. Stepp Its: Authorized Signatory

VHCP Management EG, LLC

/s/ David L. Stepp

Name: David L. Stepp Its: Authorized Signatory **CUSIP No. 04746L104** Page 17 of 17

#### **EXHIBITS**

- A: Joint Filing Agreement (incorporated by reference to Exhibit A of Schedule 13G filed on November 16, 2020)
- B: Power of Attorney for Nimish Shah (incorporated by reference to Exhibit B of Schedule 13G filed on November 16, 2020)
- C: Power of Attorney for Bong Koh (incorporated by reference to Exhibit C of Schedule 13G filed on November 16, 2020)