FORM 3

# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

#### OMB APPROVAL Washington, D.C. 20549 3235-OMB Number:

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0104

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and A MILESO	o .	2. Date of Requiring (Month/Da 09/17/20	Statement y/Year)	3. Issuer Name and Ticker or Trading Symbol Athira Pharma, Inc. [ ATHA ]						
(Last) (First) (Middle) C/O ATHIRA PHARMA, INC.					4. Relationship of Reporting Issuer (Check all applicable)	, ,		5. If Amendment, Date of Original Filed (Month/Day/Year)		
4000 MASON ROAD, SUITE 300					Director  X Officer (give title below)	10% Owner Other (specify below)		6. Individual or Joint/Group Filing (Check Applicable Line)  Form filed by One Reporting		
(Street) SEATTLE WA 98195					Chief Financial	Officer		Person Form filed by Orle Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4)	Form: D			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock <sup>(1)</sup>					81,965	Γ	D			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Sec Underlying Derivative Sec (Instr. 4)				5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative		Direct (D) or Indirect (I) (Instr. 5)	5)
Stock Option	n (Right to Bu	y) <sup>(1)</sup>	(2)	12/17/2028	Common Stock	12,610	1.35	5	D	
Stock Option	n (Right to Bu	y) <sup>(1)</sup>	(3)	09/16/2030	Common Stock	95,205	17		D	

#### **Explanation of Responses:**

- 1. Reflects a 7.9302-for-1 reverse stock split of the outstanding shares of the Issuer effected September 11, 2020.
- 2. One-third of the shares subject to the option vested on January 1, 2019 and one-third of the shares subject to the option vest on each year thereafter.
- 3. One-fourth of the shares subject to the option will vest on August 26, 2021 and one-fourth of the shares subject to the option vest on each year thereafter.

### Remarks:

/s/ Glenna Mileson

09/17/2020

\*\* Signature of Reporting

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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