FORM 4

UNIT

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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| | │ OMB APPROVAL |
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OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* Litton Mark James | | | | | 2. Issuer Name and Ticker or Trading Symbol Athira Pharma, Inc. [ATHA] | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director | | | | | | |
|--|---|--|----------------|---------|---|---|--------|-----------------------------------|----------------------------|-----------------------|-------------------------|--|---|--|-----------------|--|-------------------------|--|---|
| (Last) (First) (Middle) C/O ATHIRA PHARMA, INC. 18706 NORTH CREEK PARKWAY, SUITE 104 | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/31/2024 | | | | | | | | Officer (give title Other (specify below) President and CEO | | | | | |
| (Street) BOTHELL WA 98011 (City) (State) (Zip) | | | | 4. | 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Appl Line) Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | | | | on | | | | | |
| 1 Title of 6 | Sagurity (Inc. | | able I - | | | _ | ecurit | | cquir | ed, C | Disposed o | | | ially | Owned 5. Amount | of | 6. Owne | rehin | 7. Nature of |
| 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/ | | | | | Exe if an | Execution Date, if any (Month/Day/Year) | | Transaction Code (Instr. 8) | | | | | | | , | Form: D (D) or In (I) (Instr | irect direct . 4) | Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | | Code | v | Amount | (A) or (D) | Price | | Transaction (Instr. 3 and | | | (1130.4) | | | |
| Common | Stock | | | 12/31/2 | 2024 | | | | M | | 108,333 | A | \$0 | | 267,6 | 98 | D | | |
| Common | Stock | | | 01/02/2 | 2025 | | | | S ⁽¹⁾ | | 25,107 | D | \$0.56 | 19(2) | 242,5 | 91 | D | | |
| Common | Stock | | | | | | | | | | | | | | 6,56 | 3 | I | | By Irrevocable Trust of OSL ⁽³⁾ |
| Common | Stock | | | | | | | | | | | | | | 6,56 | 3 | I | | By Irrevocable Trust of SWL ⁽⁴⁾ |
| Common Stock | | | | | | | | | | | | | 6,563 | | 3 | I | | By Irrevocable Trust of WGL ⁽⁵⁾ | |
| | | | Table | | | | | | | | sposed of , converti | | | | Owned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | Executi if any | | | iction Instr. | | | Expiration I (Month/Day | | | 7. Title and Amo of Securities Underlying Derivative Secu (Instr. 3 and 4) | | Derivative Security | | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) | | 10. Ownersh Form: Direct (D or Indire (I) (Instr. | Beneficial Ownershi ct (Instr. 4) |
| | | | | | Code | le V (A) (I | | (D) | Date Exerc | isable | Expiration Date | Title | Amou or Numb of Sha | er | | (Instr. 4 |) | | |
| Restricted Stock Units ⁽⁶⁾ | (7) 12/31/2024 | | M | | | 108,333 | | (8) | (8) | Common Stock 108,3 | | 333 | \$0 | 216,0 | | 6,667 D | | | |

- 1. Represents shares of common stock sold to cover tax withholding and remittance obligations in connection with the vesting of restricted stock units ("RSU") pursuant to mandatory "sell to cover" policies maintained by the issuer and provisions contained in the reporting person's applicable RSU agreement, and does not represent a discretionary sale by the reporting person.
- 2. The "Amount" and "Price" reported in this Column 4 reflect the aggregate number and weighted-average price, respectively, of shares sold. These shares were sold in multiple transactions at prices ranging from \$0.5414 to \$0.5939, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.
- $3.\ Reflects\ Common\ Stock\ held\ by\ Irrevocable\ Trust\ of\ OSL\ held\ for\ the\ benefit\ of\ Dr.\ Litton's\ children.$
- 4. Reflects Common Stock held by Irrevocable Trust of SWL held for the benefit of Dr. Litton's children.
- 5. Reflects Common Stock held by Irrevocable Trust of WGL held for the benefit of Dr. Litton's children.
- 6. RSUs convert into common stock on a one-for-one basis.
- 7. Each RSU represents a contingent right to receive one (1) share of Issuer's common stock.
- 8. On October 3, 2024, the reporting person was granted 325,000 RSUs. One-third (1/3rd) of the RSUs vest on each of December 31, 2024, June 30, 2025 and December 31, 2025, subject to the reporting person continuing to be a Service Provider (as defined in the Issuer's 2020 Equity Incentive Plan (the "Plan")) through the applicable vesting dates.

/s/ Mark Worthington, Attorney-in-Fact for Mark

01/03/2025

James Litton ** Signature of Reporting Person

Date

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.